This brochure supplement provides information about Thomas Sanchez that supplements the Naples Asset Management Co., LLC brochure. You should have received a copy of that brochure. Please contact Thomas Sanchez if you did not receive Naples Asset Management Co., LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Sanchez is also available on the SEC's website at www.adviserinfo.sec.gov.



Naples Asset Management Company<sup>®</sup>, LLC

# Form ADV Part 2B - Individual Disclosure Brochure

for

## Thomas Sanchez, MBA

Personal CRD Number: 2437504 Investment Adviser Representative

> NAMCOA - Naples Asset Management Co., LLC 999 VANDERBILT BEACH ROAD, SUITE 200 NAPLES, FL 34108 OFFICE: 239.593.5525 FAX: 239.593.9731 <u>tsanchez@namcoa.com</u>

> > UPDATED: 10/28/2018

## Item 2: Educational Background and Business Experience

Nam	ne:	Thomas Sanchez	<b>Born:</b> 1969
Educational Background and Professional Designations:			
Education:			
	MBA Accounting, University of Houston - 2010		
	Bachelors Economics, University of Houston – 2008		
	Merrill Lynch Pierce Fenner & Smith, NY, NY. Donald T. Regan Certification Program, Certified Financial Manager Program (CFM). 1998		
Business Background:			
	01/201	4 - Present	Investment Advisor Naples Asset Management Co., LLC
	10/201	5 - Present	Administrator MD Anderson Cancer Center
	2006 -	2014	Registered Representative MSC-BD, LLC
	2003 -	2006	Registered Representative LPL Financial
	1998 -	2003	Registered Representative UBS Financial Services, Inc.

# Item 3: Disciplinary Information

**Registered Representative** 

Merrill Lynch Pierce Fenner & Smith, Inc

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

1994 - 1998

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## **Item 4: Other Business Activities**

Thomas Sanchez is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Naples Asset Management Co., LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Naples Asset Management Co., LLC in their capacity as a licensed insurance agent.

### **Item 5: Additional Compensation**

Thomas Sanchez does not receive any economic benefit from any person, company, or organization, other than Naples Asset Management Co., LLC in exchange for providing clients advisory services through Naples Asset Management Co., LLC.

### **Item 6: Supervision**

As a representative of Naples Asset Management Co., LLC, Thomas Sanchez is supervised by Paul McIntyre, the firm's Chief Compliance Officer. Paul McIntyre is responsible for ensuring that Thomas Sanchez adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Paul McIntyre is (239) 287-3789.

