

This brochure supplement provides information about Christos Athans that supplements the Naples Asset Management Co., LLC brochure. You should have received a copy of that brochure. Please contact Christos Athans if you did not receive Naples Asset Management Co., LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Christos Athans is also available on the SEC's website at www.adviserinfo.sec.gov.



Form ADV Part 2B – Individual Disclosure Brochure
for

Christos Athans

Personal CRD Number: 855802

Investment Adviser Representative

NAMCOA[®] - Naples Asset Management Co., LLC
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cathans@namcoa.com

UPDATED: 12/09/2020

Item 2: Educational Background and Business Experience

Name: Christos Athans **Born:** 1956

Education:

- Investment Advisor Representative - 2009
- Life and Annuity License - 2001
- Bachelors Business Education, Babson College - 1978
- Major Finance, Babson College - 1978

Business Background:

10/2009 - Present	Investment Advisor Representative, NAMCOA® - Naples Asset Management Co., LLC
10/2007 - 09/2012	Registered Representative, MSC-BD, LLC
1987- 2007	Various NASD Member Broker Dealer Firms, Registered Representative/ Principal

Examinations & Licenses:

- Series 65 (Uniform Investment Advisor Law Examination) 2009
- Florida Life, Variable Annuity License 2001
- Series 4 (General Security Principal) 1999
- Series 24 (General Securities Principal) 1994
- Series 63 (Uniform Securities Agent State Law) 1989
- FINRA: Series 7 (General Securities) 1987
- Series 1 (Registered Representative Examination) 1978

Current Professional Background

For the past 30 years I have been an investment representative, recommending stocks, bonds, mutual funds, options, private placements, life insurance and annuities.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Christos Athans is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Naples Asset Management Co., LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Naples Asset Management Co., LLC in their capacity as a licensed insurance agent.

Christos Athans is a Maintenance Supervisor for Neuro International Healthcare, an assisted living center.

Item 5: Additional Compensation

Christos Athans does get additional compensation from Neuro International Healthcare, non-investment related.

Item 6: Supervision

As the Chief Compliance Officer of NAMCOA®- Naples Asset Management Co., LLC, Paul McIntyre supervises all activities of the firm. Paul McIntyre's contact information is on the cover page of this disclosure document. Paul McIntyre adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

